



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Luke A. Braud, et. al. Attorney Docket: NETL-003  
Serial No. : 10/665,308 Group Art Unit: 2171  
Date Filed : September 18, 2003 Examiner:  
For : FACILITATING DATA MANIPULATION IN A BROWSER-BASED  
USER INTERFACE OF AN ENTERPRISE BUSINESS APPLICATION

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 27, 2004.

Signed: \_\_\_\_\_

Brian J. Daiuto

Law Office of Brian J. Daiuto  
886 Ilima Court  
Palo Alto CA 94306

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure provisions of 37 C.F.R. §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the application.

1. Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ A list of all patents, publications, applications, or other information submitted for consideration by the office.
  - 1b. ☒ A legible copy of:
    - ☒ Each U.S. patent application publication and U.S. and foreign patent;
    - ☒ Each publication or that portion which caused it to be listed on the PTO-1449;
    - ☐ For each cited pending U.S. application, the application specification including the claims, and any drawing of the application, or portion of the application which caused it to be listed on the PTO-1449 including any claims directed to that portion;

- ☐ all other information or portion which caused it to be listed on the PTO-1449.
- 1c. ☐ An English language copy of search report(s) from a counterpart foreign application or PCT International Search Report.
- 1d. ☐ Explanations of relevancy (ATTACHMENT 1(d), hereto) or English language abstracts of the non-English language publications.
2. ☒ This Information Disclosure Statement is filed under 37 C.F.R. §1.97(b):
- ☐ Within three months of the filing date of a national application other than a continued prosecution application under §1.53(d);
- ☐ Within three months of the date of entry of the national stage as set forth in §1.491 in an international application;
- ☒ Before the mailing of the first Office action on the merits;
- ☐ Before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.
3. ☐ This Information Disclosure Statement is filed under 37 C.F.R. §1.97(c) after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of any of a final action under 37 C.F.R. § 1.113, a notice of allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in the application.

*(Check either item 3a or 3b)*

- 3a. ☐ The Certification Statement in Item 5 below is applicable. Accordingly, no fee is required.
- 3b. ☐ The \$180.00 fee set forth in 37 C.F.R. §1.17(p) in accordance with 37 C.F.R. §1.97(c) is:
- ☐ enclosed.
- ☐ to be charged to Deposit Account No. \_\_\_\_\_.
- (Item 3b to be checked if any reference known for more than 3 months)*
4. ☐ This Information Disclosure Statement is filed under 37 C.F.R. § 1.97(d) after the period specified in 37 C.F.R. § 1.97(c), but on or before the date of payment of the issue fee.
- ☐ The \$180.00 fee set forth in 37 C.F.R. §1.17(p) is:
- ☐ enclosed.
- ☐ to be charged to Deposit Account No. \_\_\_\_\_.
- ☐ The Certification Statement in Item 5 below is applicable.

5. ☐ The following Certification Statement is applicable (Item 3a or Item 4 above is checked):

*(Check either Item 5a or 5b)*

- 5a. ☐ In accordance with 37 C.F.R. §1.97(e)(1), it is certified that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

- 5b. ☐ In accordance with 37 C.F.R. §1.97(e)(2), it is certified that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

6. ☐ This application is a continuation application under 37 C.F.R. § 1.60 or § 1.53(b) or (d).

*(Check appropriate Items 6a, 6b, and/or 6c)*

- 6a. ☐ A Petition to Withdraw from issue under 37 C.F.R. §1.313 is concurrently filed herewith.

- 6b. ☐ Copies of publications listed on Form PTO-1449 from prior application Serial No \_\_\_\_\_, filed on \_\_\_\_\_, of which this application claims priority under 35 U.S.C. § 120, are not being submitted pursuant to 37 C.F.R. §1.98(d).

- 6c. ☐ Copies of the publications listed on Form PTO-1449 were not previously cited in prior application Serial No \_\_\_\_\_, filed on \_\_\_\_\_, and are provided herewith.

7. ☐ This is a Supplemental Information Disclosure Statement.

*(Check Item 7a if applicable)*

- 7a. ☐ This Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97(f) supplements the Information Disclosure Statement filed on \_\_\_\_\_. A bona fide attempt was made to comply with 37 C.F.R. § 1.98, but inadvertent omissions were made. These omissions have been corrected herein. Accordingly, additional time is requested so that this Supplemental Information Disclosure Statement can be considered as if properly filed on \_\_\_\_\_.

8. ☐ In accordance with 37 C.F.R. §1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is:


*(Check item 8a, 8b, or 8c)*



- 8a. ☐ satisfied because all non-English language publications were cited on the enclosed English language copy of the PCT International Search Report or the search report from a counterpart foreign application indicating the degree of relevance found by the foreign office.
- 8b. ☐ set forth in the application.
- 8c. ☐ enclosed as an attachment hereto.
9. ☐ The Commissioner is authorized to charge any additional fee required or credit any overpayment for this Information Disclosure Statement and/or Petition to Deposit Account No. \_\_\_\_\_.
10. ☒ No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than a search report of a foreign counterpart application or PCT International Search Report if submitted herewith). 37 C.F.R. §§1.97(g) and (h).

Respectfully submitted,

Date: January 27, 2004

  
\_\_\_\_\_  
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Form 1449/PTO (modified)

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Application Number: 10/665,308  
 Filing Date: September 18, 2003  
 First Named Inventor: Braud  
 Art Unit: 2171  
 Examiner Name:  
 Attorney Docket Number: NETL-003

Sheet 1 of 2

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Document Number	Pub. Date	Name	Class	Sub-Class	Filing Date if Appropriate
AA	US 2002/0188513	12-12-2002	Gil et. al.			
AB	US 2002/0152399	11-17-2002	Smith et. al.			
AC	US 2002/0169797	11-14-2002	Hegde et.al.			
AD	US 2002/0188486	12-12-2002	Gil et. al.			
AE	US 5,926,806	07-20-1999	Marshall et. al.			
AF	US 2002/0069204	06-06-2002	Kahn et. al.			
AG	US 2003/0001885	02-02-2003	Lin et. al.			
AH						
AI						
AJ						
AK						
AL						

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Document Number	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
						Yes	No
AM							
AN							
AO							
AP							
AQ							

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /L.R./

Examiner Signature:	/Laurie Ries/	Date Considered:	04/27/2009
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\* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form 1449/PTO (modified)

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			Art Unit: 2171	
			Examiner Name:	
Sheet	2	of	2	Attorney Docket Number: NETL-003

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
AR		PATRICIA SEYBOLD GROUP, "An Executive's Guide to CRM: How to Evaluate CRM Alternatives by Functionality, Architecture, & Analytics" (2002), as printed on 3/27/03 from <a href="http://www.psgroup.com/freereport/imedia/CRM-EXECGUIDE3-02.pdf">http://www.psgroup.com/freereport/imedia/CRM-EXECGUIDE3-02.pdf</a>
AS		CIO.COM, "Executive Summaries: Enterprise Resource Planning," CXO Media, Inc. (August 22, 2002), as printed on 3/27/03 from <a href="http://www.cio.com/summaries/enterprise/erp/index.html">http://www.cio.com/summaries/enterprise/erp/index.html</a> -
AT		RAY, D. and RAY, E., <i>Mastering HTML and XHTML</i> , SYBEX, Inc. (2002) (cover page and table of contents only) -
AU		GOODMAN, D., <i>JavaScript Bible: Gold Edition</i> , Hungry Minds, Inc. (2001). (cover page and table of contents only) -
AV		BABCOCK, CHARLES, "Isomorphic Broadens Rich Web Client Appeal," <i>The Foggy Mountain Report</i> , No. 19, San Francisco, CA, published at <a href="http://www.charlesbabcock.com">www.charlesbabcock.com</a> , (January 8, 2003). -
AW		ISOMORPHIC SOFTWARE, "Isomorphic SmartClient (ISC) Historical/Competitive Brief," Isomorphic Software, Inc., San Francisco, CA (June 12, 2003). -
AX		ISOMORPHIC SOFTWARE, "Isomorphic SmartClient (ISC) Technology Brief," Isomorphic Software, Inc., San Francisco, CA (June 10, 2003). -
AY		

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /L.R./

Examiner Signature: /Laurie Ries/	Date Considered: 04/27/2009
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\* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.